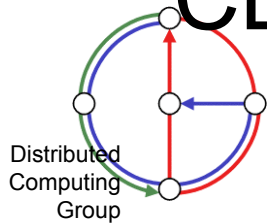


# Chapter 7 CLUSTERING



Mobile Computing  
Summer 2002

## Overview



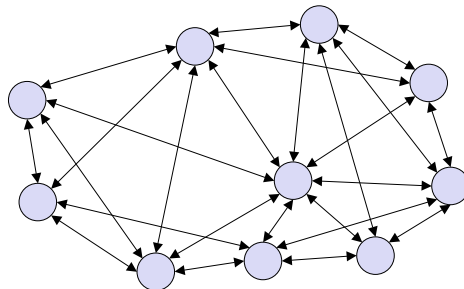
- Motivation
- Dominating Set
- Connected Dominating Set
  
- The “Tree Growing” Algorithm
- The “Marking” Algorithm
- An algorithm for the unit disk graph



## Clustering (Trick 7 revisited)



- Situations where many mobile nodes are close-by. In other words, in situations where it is usually the case that two neighbors are also neighboring. Example: conferences or this classroom.
- Graph to the right has diameter\* 2. But what happens when we do flooding (for a first routing step, or a broadcast)? There will be much more than 2 transmissions.



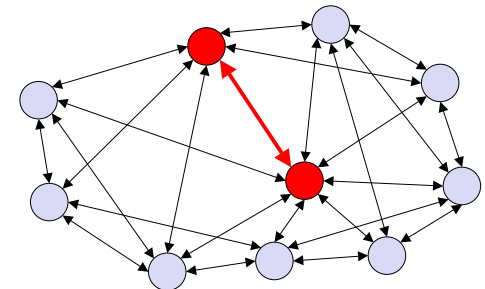
\*diameter = longest shortest path



## Backbone



- Idea: Some nodes become backbone nodes (gateways). Each node can access and be accessed by at least one backbone node.
- Routing:
  1. If source is not a gateway, transmit message to gateway
  2. Gateway acts as proxy source and routes message on backbone to gateway of destination.
  3. Transmission gateway to destination.

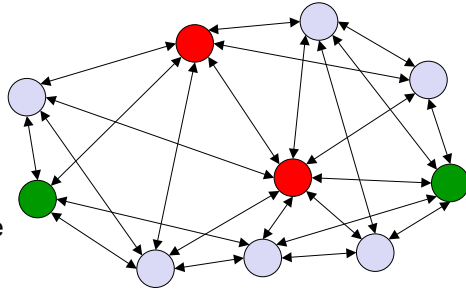


## (Connected) Dominating Set

- A **Dominating Set DS** is a subset of nodes such that each node is either in DS or has a neighbor in DS.
- A **Connected Dominating Set CDS** is a connected DS, that is, there is a path between any two nodes in CDS that only uses nodes that are in CDS.

- A CDS is a good choice for a backbone.

- It might be favorable to have few nodes in the CDS. This is known as the Minimum CDS problem



## An MCDS Algorithm

- Input: We are given undirected graph. The nodes in the graph are the mobile stations; there is an edge between two nodes if the nodes are within transmission range of each other.
- Note that the graph is undirected, thus transmission is symmetric. Also note that the graph is not Euclidean.
- Output: Find a Minimum Connected Dominating Set, that is, a CDS with a minimum number of nodes.
- Problem: MCDS is NP-hard.
- Solution: Can we find a CDS that is “close” to minimum?



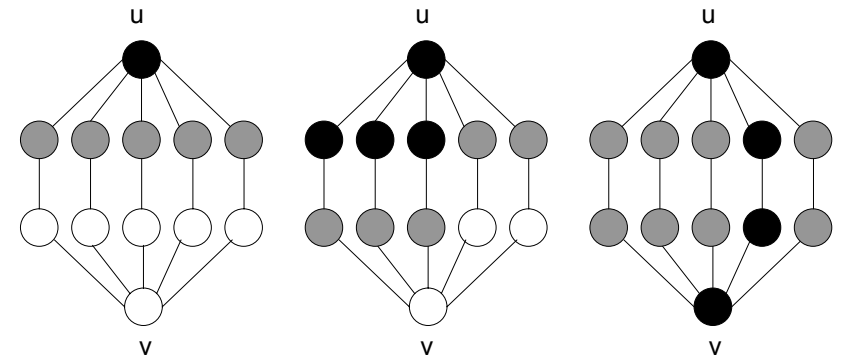
## The “too simple tree growing” algorithm

- Idea: Start with the root and then greedily choose a neighbor of the tree that dominates as many new nodes as possible.
- Black nodes are in the CDS
- Grey nodes are neighbors of nodes in the CDS
- White nodes are not yet dominated, initially all nodes are white.
- Start: Choose a node of maximum degree, and make it the root of the CDS, that is, color it black (and its white neighbors grey).
- Step: Choose a grey node with maximum number of white neighbors and color it black (and its white neighbors grey).



## Example of the “too simple tree growing” algorithm

Graph with  $2n+2$  nodes; tree growing:  $|CDS|=n+2$ ; Minimum  $|CDS|=4$



tree growing: start

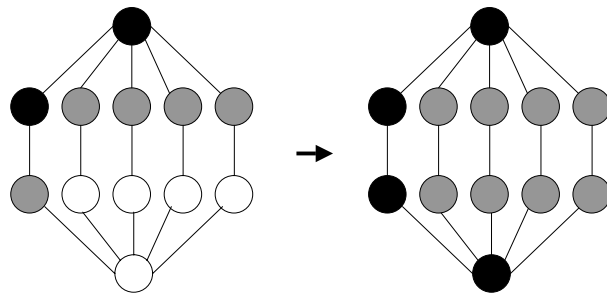
...

Minimum CDS



## Tree Growing Algorithm

- Idea: Don't scan one but two nodes!
- Alternative step: Choose a grey node and its white neighbor node with a maximum sum of white neighbors and color both black (and their white neighbors grey).



## Analysis of the tree growing algorithm

- Theorem: The tree growing algorithm finds a connected set of size  $|CDS| \leq 2(1+H(\Delta)) \cdot |DS_{OPT}|$ .
- $DS_{OPT}$  is a (not connected) minimum dominating set
- $\Delta$  is the maximum node degree in the graph
- $H$  is the harmonic function with  $H(n) \approx \log(n)+0.7$
- In other words, the connected dominating set of the tree growing algorithm is at most a  $O(\log(\Delta))$  factor worse than an optimum minimum dominating set (which is NP-hard to compute).
- With a lower bound argument (reduction to set cover) one can show that a better approximation factor is impossible, unless  $P=NP$ .



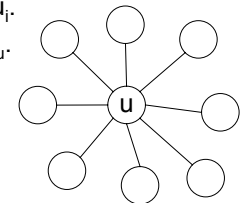
## Proof Sketch

- The proof is done with amortized analysis.
- Let  $S_u$  be the set of nodes dominated by  $u \in DS_{OPT}$ , or  $u$  itself. If a node is dominated by more than one node, we put it in one of the sets.
- We charge the nodes in the graph for each node we color black. In particular we charge all the newly colored grey nodes. Since we color a node grey at most once, it is charged at most once.
- We show that the total charge on the vertices in an  $S_u$  is at most  $2(1+H(\Delta))$ , for any  $u$ .



## Charge on $S_u$

- Initially  $|S_u| = u_0$ .
- Whenever we color some nodes of  $S_u$ , we call this a step.
- The number of white nodes in  $S_u$  after step  $i$  is  $u_i$ .
- After step  $k$  there are no more white nodes in  $S_u$ .
- In the first step  $u_0 - u_1$  nodes are colored (grey or black). Each vertex gets a charge of at most  $2/(u_0 - u_1)$ .
- After the first step, node  $u$  becomes eligible to be colored (as part of a pair with one of the grey nodes in  $S_u$ ). If  $u$  is not chosen in step  $i$  (with a potential to paint  $u_i$  nodes grey), then we have found a better (pair of) node(s). That is, the charge to any of the new grey nodes in step  $i$  in  $S_u$  is at most  $2/u_i$ .



## Adding up the charges in $S_u$



$$\begin{aligned}
 C &\leq \frac{2}{u_0 - u_1}(u_0 - u_1) + \sum_{i=1}^{k-1} \frac{2}{u_i}(u_i - u_{i+1}) \\
 &= 2 + 2 \sum_{i=1}^{k-1} \frac{u_i - u_{i+1}}{u_i} \\
 &\leq 2 + 2 \sum_{i=1}^{k-1} (H(u_i) - H(u_{i+1})) \\
 &= 2 + 2(H(u_1) - H(u_k)) = 2(1 + H(u_1)) \leq 2(1 + H(\Delta))
 \end{aligned}$$



## Discussion of the tree growing algorithm



- We have an extremely simple algorithm that is asymptotically optimal unless P=NP. And even the constants are small.
- Are we happy?
- Not really. How do we implement this algorithm in a real mobile network? How do we figure out where the best grey/white pair of nodes is? How slow is this algorithm in a distributed setting?
- We need a fully distributed algorithm. Nodes should only consider local information.



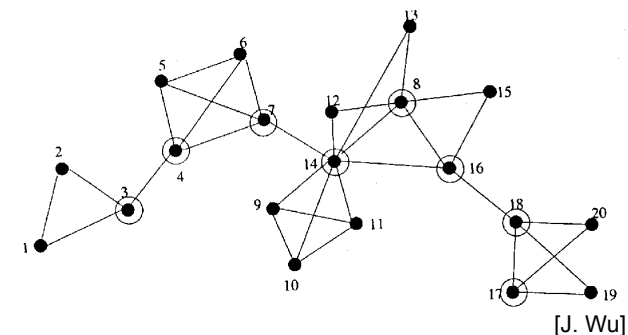
## The Marking Algorithm



- Idea: The connected dominating set CDS consists of the nodes that have two neighbors that are not neighboring.
1. Each node  $u$  compiles the set of neighbors  $N(u)$
  2. Each node  $u$  transmits  $N(u)$ , and receives  $N(v)$  from all its neighbors
  3. If node  $u$  has two neighbors  $v, w$  and  $w$  is not in  $N(v)$  (and since the graph is undirected  $v$  is not in  $N(w)$ ), then  $u$  marks itself being in the set CDS.
- + Completely local; only exchange  $N(u)$  with all neighbors
  - + Each node sends only 1 message, and receives at most  $\Delta$
  - + Messages have size  $O(\Delta)$
  - Is the marking algorithm really producing a connected dominating set? How good is the set?



## Example for the Marking Algorithm



[J. Wu]



## Correctness of Marking Algorithm

- We assume that the input graph  $G$  is connected but not complete.
- Note: If  $G$  was complete then constructing a CDS would not make sense. Note that in a complete graph no node would be marked.
- We show:

The set of marked nodes CDS is

- a) a dominating set
- b) connected
- c) a shortest path in  $G$  between two nodes of the CDS is in CDS



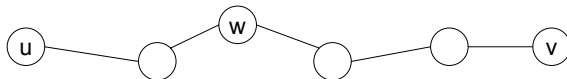
## Proof of a) dominating set

- Proof: Assume for the sake of contradiction that node  $u$  is a node that is not in the dominating set, and also not dominated. Since no neighbor of  $u$  is in the dominating set, the nodes  $N^+(u) := u \cup N(u)$  form:
  - a complete graph
    - if there are two nodes in  $N(u)$  that are not connected,  $u$  must be in the dominating set by definition
  - no node  $v \in N(u)$  has a neighbor outside  $N(u)$ 
    - or, also by definition, the node  $v$  is in the dominating set
- Since the graph  $G$  is connected it only consists of the of the complete graph  $N^+(u)$ . We precluded this in the assumptions, therefore we have a contradiction



## Proof of b) connected, c) shortest path in CDS

- Proof: Let  $p$  be any shortest path between the two nodes  $u$  and  $v$ , with  $u, v \in \text{CDS}$ .
- Assume for the sake of contradiction that there is a node  $w$  on this shortest path that is not in the connected dominating set.



- Then the two neighbors of  $w$  must be connected, which gives us a shorter path. This is a contradiction.



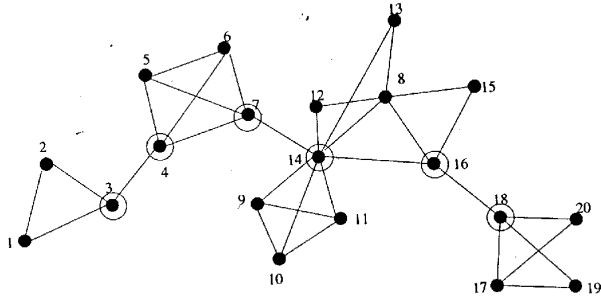
## Improving the Marker Algorithm

- We give each node  $u$  a unique  $\text{id}(u)$ .
- Rule 1: If  $N^+(v) \subseteq N^+(u)$  and  $\text{id}(v) < \text{id}(u)$ , then do not include node  $v$  into the CDS.
- Rule 2: Let  $u, w \in N(v)$ . If  $N(v) \subseteq N(u) \cup N(w)$  and  $\text{id}(v) < \text{id}(u)$  and  $\text{id}(v) < \text{id}(w)$ , then do not include  $v$  into the CDS.
- (Rule 2+: You can do the same with more than 2 covering neighbors, but it gets a little more intricate.)
- ...for a quiet minute: Why are the identifiers necessary?



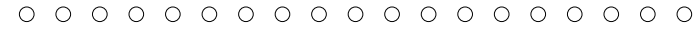
## Example for improved Marking Algorithm

- Node 17 is removed with rule 1
- Node 8 is removed with rule 2



## Quality of the Marking Algorithm

- Given a Euclidean chain of  $n$  homogeneous nodes
- The transmission range of each node is such that it is connected to the  $k$  left and right neighbors, the id's of the nodes are ascending.



- An optimal algorithm (and also the tree growing algorithm) puts every  $k$ 'th node into the CDS. Thus  $|CDS_{OPT}| \approx n/k$ ; with  $k = n/c$  for some positive constant  $c$  we have  $|CDS_{OPT}| = O(1)$ .
- The marking algorithm (also the improved version) does mark all the nodes (except the  $k$  leftmost ones). Thus  $|CDS_{Marking}| = n - k$ ; with  $k = n/c$  we have  $|CDS_{Marking}| = O(n)$ .
- The worst-case quality of the marking algorithm is worst-case! ☺

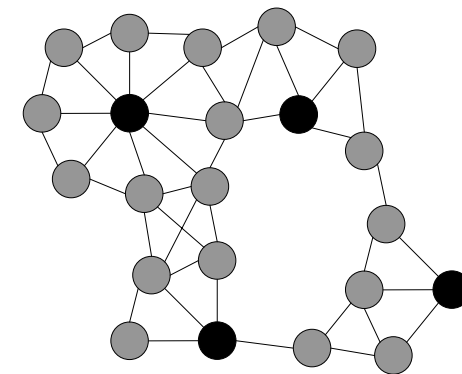


## Euclidean Unit Disk Graph

- For the important special case of Euclidean Unit Disk Graphs there is a simple marking algorithm that does the job.
- We make the simplifying assumptions that MAC layer issues are resolved: Two nodes  $u, v$  within transmission range 1 receive both all their transmissions. There is no interference, that is, the transmissions are locally always completely ordered.
- Initially no node is in the connected dominating set CDS.
  1. If a node  $u$  has not yet received an "I AM A DOMINATOR, BABY!" message from any other node, node  $u$  will transmit "I AM A DOMINATOR, BABY!"
  2. If node  $v$  receives a message "I AM A DOMINATOR, BABY!" from node  $u$ , then node  $v$  is dominated by node  $u$ .



## Example



- This gives a dominating set. But it is not connected.



## Euclidean Unit Disk Graph Continued

3. If a node  $w$  is dominated by at least two dominators  $u$  and  $v$ , and node  $w$  has not yet received a message “I am dominated by  $u$  and  $v$ ”, then node  $w$  transmits “I am dominated by  $u$  and  $v$ ” and enters the CDS.
- And since this is still not quite enough...
4. If a neighboring pair of nodes  $w_1$  and  $w_2$  is dominated by dominators  $u$  and  $v$ , respectively, and have not yet received a message “I am dominated by  $u$  and  $v$ ”, or “We are dominated by  $u$  and  $v$ ”, then nodes  $w_1$  and  $w_2$  both transmit “We are dominated by  $u$  and  $v$ ” and enter the CDS.



## Results

- The algorithm for the Euclidean Unit Disk Graph produces a connected dominating set.
  - The algorithm is completely local
  - Each node only has to transmit one or two messages of constant size.
  - The connected dominating set is asymptotically optimal, that is,  $|CDS| = O(|CDS_{OPT}|)$
  - If nodes in the CDS calculate the Gabriel Graph  $GG(UDG(CDS))$ , the graph is also planar
  - The routes in  $GG(UDG(CDS))$  are “competitive”.
- But: is the UDG Euclidean assumption realistic?

